

**MINISTER OF EDUCATION AND SCIENCE OF THE REPUBLIC OF LITHUANIA
MINISTER OF THE ECONOMY OF THE REPUBLIC OF LITHUANIA**

ORDER

**CONCERNING APPROVAL OF THE PROCEDURE FOR MONITORING THE
DEVELOPMENT OF THE PRIORITY AREAS OF RESEARCH AND (SOCIO-
CULTURAL) DEVELOPMENT AND INNOVATION (SMART SPECIALISATION) AND
THE IMPLEMENTATION OF THEIR PRIORITIES AND FOR PROMOTING
COLLABORATION BETWEEN BUSINESSES AND SCIENCE AND STUDY
INSTITUTIONS**

15 December 2014 No V-1218/4-911

Vilnius

As part of implementation of the Programme on the Implementation of Priority Areas of Research and (Socio-Cultural) Development and Innovation (Smart Specialisation) and their Priorities approved by Resolution of the Government “Concerning approval of the Programme on the Implementation of Priority Areas in Research and (Socio-Cultural) Development and Innovation (Smart Specialisation) and Their Priorities“ No 411 of 30 April 2014 and having regard to minutes of the meeting of the Group for Coordination of Implementation of Research and (Socio-Cultural) Development and Innovation (Smart Specialisation) Priorities No 12 of 11 December 2014, we hereby

a p p r o v e the Procedure for Monitoring the Development of the Priority Areas of Research, (Socio-Cultural) Development and Innovation (Smart Specialisation) and the Implementation of their Priorities and for Promoting Collaboration between Businesses and Science and Study Institutions (appended).

Minister of Education and Science

Dainius Pavalkis

Minister of the Economy

Evaldas Gustas

APPROVED
by Order of the Minister of Education and
Science of the Republic of Lithuania and the
Minister of the Economy of the Republic of
Lithuania
No V-1218/4-911 of 15 December 2014

**PROCEDURE FOR MONITORING THE DEVELOPMENT OF THE PRIORITY AREAS
OF RESEARCH AND (SOCIO-CULTURAL) DEVELOPMENT AND INNOVATION
(SMART SPECIALISATION) AND THE IMPLEMENTATION OF THEIR PRIORITIES
AND FOR PROMOTING COLLABORATION BETWEEN BUSINESSES AND SCIENCE
AND STUDY INSTITUTIONS**

**SECTION I
GENERAL PROVISIONS**

1. This Procedure for Monitoring the Development of the Priority Areas of Research and (Socio-Cultural) Development and Innovation (Smart Specialisation) and the Implementation of their Priorities and for Promoting Collaboration between Businesses and Science and Study Institutions (hereinafter referred to as ‘the Procedure’) has been prepared as part of implementation of the Programme on the Implementation of Priority Areas of Research and (Socio-Cultural) Development and Innovation (Smart Specialisation) and their Priorities approved by Resolution of the Government “Concerning approval of the Programme on the Implementation of Priority Areas of Research and (Socio-Cultural) Development and Innovation (Smart Specialisation) and their Priorities“ No 411 of 30 April 2014 (hereinafter referred to as ‘the Programme’).

2. The Procedure establishes the procedures for the monitoring of the implementation of Action Plans on the development of Priority areas of research and (socio-cultural) development and innovation (‘RDI’) and their priorities (‘RDI priorities’) as well as for the assessment of their impact, identifies entities responsible for the implementation of the action plans, and establishes the procedures for promoting collaboration between businesses and science and study institutions and identifies entities responsible therefor.

**SECTION II
PROMOTING COLLABORATION BETWEEN BUSINESSES AND SCIENCE AND
STUDY INSTITUTIONS**

3. Implementation of the Programme requires widest possible involvement of business entities and science and study institutions in joint projects. The Agency for Science, Innovation and Technology (‘the Agency’) shall be responsible for promoting the collaboration between businesses and science and study institutions. In promoting the collaboration between businesses and science and study institutions, the Agency shall:

3.1. during the implementation of the Programme, identify and analyse the RDI needs of businesses and identify the RDI themes relevant to business entities;

3.2. organise the dissemination of information on the smart specialisation objectives and process to potential participants in the implementation of the RDI priorities and promoters of the projects to be implemented under the RDI policy measures as identified in the action plans;

3.3. organise discussions of the implementation of the Programme and individual RDI priority action plans with the process participants and other stakeholders from both public and private sectors;

3.4. make proposals to the stakeholders, upon evaluation of their needs and possibilities, for the selection of suitable measure for financing and provide consulting on the feasibility of conducting studies provided for in the RDI priority actions plans and the projects to be implemented under the RDI priority policy measures;

3.5. organise information seminars and partner search events;

3.6. organise activities of collaboration between science and study institutions and other public and private entities in order to encourage their joint participation in the projects to be implemented under the study and RDI policy measures;

3.7. provide consulting to economic entities on the possibilities of applying the RDI results in the production of high value-added products.

4. In carrying out the activities referred to in Clause 3 above, the Agency shall furnish the institution conducting the monitoring and impact assessment with the data and/or information summaries required for the performance of the latter's functions.

5. Having regard to the findings obtained during the activities, the Agency shall make well-founded proposals to the Coordination Group for the need to amend the actions plans.

6. The Agency shall present, not later than by 1 April or as requested by the Coordination Group, a report on the activities referred to in Clause 3 of the Procedure.

7. The Agency may hire experts competent in the relevant RDI priority areas for the implementation of the Agency's activities (an expert per area).

SECTION III MONITORING AND PUBLICITY OF THE PROGRAMME AND RDI PRIORITY ACTION PLANS

8. The monitoring and assessment of the implementation of the Programme, the RDI priorities and the RDI priority action plans shall be organised by the Centre for Research and Higher Education Monitoring and Analysis ('the Centre'). The Centre shall be responsible for the compilation of data on the monitoring, ongoing analysis and assessment, summarisation of the data, and its timely submission to the Coordination Group and other stakeholders as well as for the provision of information intended for publication.

9. The ongoing analysis and monitoring conducted by the Centre is based on the data collected and investigations completed by institutions implementing the activities financed by the European Union (EU) structural funds or from other sources, additional data and information collected by the Centre and the Ministry of the Economy, data, information, status and trend analyses and insights provided by the Agency, results of expert team work and other information.

10. The Centre shall conduct the monitoring and assessment of the implementation of the Programme, the RDI priorities and the RDI priority action plans according to the procedures adopted by the Centre and agreed with the Ministry of Education and Science and the Ministry of the Economy.

11. In conducting the monitoring of implementation of the Programme and the RDI priority action plans, the Centre shall perform the following functions:

11.1. analyse information on the implementation of projects that are implemented under the study and RDI policy measures and are financed by the Ministry of Education and Science;

11.2. analyse the research situation (participation in international scientific programmes, RDI and higher education trends in Lithuania, status of RDI in the business sector, status of research conducted by study and research institutions in the international context);

11.3. analyses the efficiency of the study and RDI policy measures designed for the implementation of individual RDI priorities, or a combination of such measures provided in the RDI priority action plans;

11.4. jointly with the Ministry of the Economy:

11.4.1. analyse the impact of implementation of the RDI priorities on sectors of the economy;

11.4.2. analyse the development and trends of science and technologies; developments in the factors of social, economic and other environments that can affect the implementation of the Programme and/or the relevance of individual RDI priorities; prospects and impacts of investments of funds earmarked for the priorities;

11.4.3. analyse the impact of the implementation of the study and RDI policy measures on the implementation of the RDI priorities;

11.4.4. analyse the ministerial plans for the announcement of calls for proposals under the study and RDI policy measures in order to ensure timely implementation of the planned measures.

12. The Ministry of the Economy shall, while participating in the monitoring and publicity of implementation of the Programme and the RDI priority action plans, perform the following functions:

12.1. analyse information on the implementation of the projects implemented under the RDI policy measures and financed by the Ministry of the Economy;

12.2. analyse the situation of the national economy in relation to the RDI impact;

12.3. analyse the efficiency of the RDI policy measures and/or a combination of such measures;

12.4. analyses changes in the development of the economic sectors as well as obstacles to the development;

12.5. perform the functions referred to in Clause 11.4 jointly with the Centre.

13. The Ministry of the Economy shall submit data summaries, analytical information and conclusions to the Centre, which will prepare a joint report and submit it to the Coordination Group, not later than on 1 July or as requested by the Coordination Group.

14. The Centre and the Ministry of the Economy may hire Lithuanian and/or foreign experts to perform the functions established in Clauses 11 and 12 of this Procedure.

SECTION IV ASSESSMENT OF IMPLEMENTATION OF THE RDI PRIORITY ACTION PLANS AND RDI PRIORITIES

15. The Centre shall organise an interim assessment of each RDI priority action plan upon expiry of 2 (two) years of approval of such plan.

16. The Centre shall, as part of the RDI priority action plan assessment and having regard to the reports approved by the Coordination Group referred to in Clause 13 above:

16.1. upon evaluation of the achievement of the targets set in the RDI priority action plan, determine progress of and opportunities for the implementation of the RDI priority;

16.2. assess the results of implementation of the study and RDI policy measures under the RDI priority action plan and the results of the projects implemented thereunder, as well as their suitability for the implementation of the RDI priority;

16.3. determine the RDI priority potential for further development based on the information on the technology development and trends and having regard to the developments in the factors of social, economic and other environments.

17. On summarisation of the interim assessment results, the Centre shall submit to the Coordination Group its report and well-grounded proposals for further action related to the implementation of the Programme and/or individual RDI priority action plans.

18. On completion of the Programme, the Centre shall organise the final assessment of each RDI priority action plan's implementation.

19. During the final assessment of the RDI priority action plan's implementation, the Centre shall, having regard to the Coordination Group's reports and interim assessment results:

19.1. determines the RDI priority implementation status upon evaluation of the achievement of the targets set in the RDI priority action plan;

19.2. assess the impact of the implementation of the RDI priority action plan on the implementation of the relevant RDI priority, development of the relevant RDI priority area, RDI development, and competitiveness of the economy.

20. On summing up of the results of the final assessment of the implementation of the RDI priority action plan, the Centre shall submit to the Coordination Group a report on the evaluation of the implementation of the RDI priority action plan.

21. On completion of the Programme the Centre shall organise, within 2 years, a final assessment of the impact of implementation of all the RDI priorities on the RDI development and the competitiveness of the economy.

22. During the final assessment of the impact of implementation of all the RDI priorities on the RDI development and the competitiveness of the economy, the Centre shall, having regard to the results of the ongoing analysis and the interim and final assessments of the RDI priority action plan's implementation:

22.1. assess the final result of the achievement of the Programme's strategic goal, objectives and tasks having regard to the meeting of the evaluation criteria set out in the annex to the Programme;

22.2. assess the economic impact of the Programme's implementation;

22.3. assess the impact of the Programme's implementation on the response to the key social and economic challenges identified during the RDI priorities' identification.

23. On summarising the final results of the assessment of the impact of implementation of all the RDI priorities on the RDI development and competitiveness of the economy, the Centre shall submit a report to the Coordination Group together with proposals and recommendations for promoting further RDI development.

24. The Centre may hire Lithuanian and/or foreign experts to perform its functions.

SECTION V FINAL PROVISIONS

25. The monitoring and assessment of the implementation of the Programme, the RDI priorities and the RDI priority action plans and the promoting of collaboration between businesses and science and study institutions may be financed from the state budget of the Republic of Lithuania, the EU Structural funds and other EU funds, other international support funds, and any other legally raised funds.

26. The data and information required for the institution that conducts monitoring and impact assessment shall be provided, free of charge, by institutions implementing the measures under the RDI priority action plans and the projects implemented thereunder, according to the procedure prescribed by the law.

27. The Ministry of Education and Science and the Ministry of the Economy shall ensure the submission of data required for the monitoring and the impact assessment.

28. In performing the functions referred to in this Procedure, the Agency and the Centre shall maintain confidentiality of information and compliance with the provisions of the Republic of Lithuania Law on the Adjustment of Public and Private Interests in the Public Service.
